Annual statement on research integrity

If you have any questions about this template, please contact: RIsecretariat@universitiesuk.ac.uk.

Section 1: Key contact information

Question	Response
1A. Name of organisation	University of London
1B. Type of organisation: higher education institution/industry/independe nt research performing organisation/other (please state)	Higher Education Institution
1C. Date statement approved by governing body (DD/ MM/ YY)	Academic Board 30 Jan 2024
1D. Web address of page (if applicable)	https://www.london.ac.uk/about/policies/researc h-governance/research-integrity-annual- statements

1E Named senior memb1625reW*

symonds@london.ac.uk

Section 2: Promoting high standards of research integrity and positive research culture. Description of actions and activities undertaken

2A. Description of current systems and culture

Please describe how the organisation maintains high standards of research integrity and promotes positive research culture. It should include information on the support provided to researchers to understand standards, values and behaviours, such as training, support and guidance for researchers at different career stages/ disciplines. You may find it helpful to consider the following broad headings:

Policies and systems

Communications and engagement

Culture, development and leadership

Monitoring and reporting

- implementation of policies at the beginning of the year.
- 8. Members of the Research Ethics Committee have also met in January 2023 to discuss assessment practicalities and ensure that all reviewers undertake assessment and approval processes with confidence.
 - 7. The University offers a wide range of training courses which supports research integrity for all researchers at all levels, on the University virtual learning environment, including:
 - Data Protection module (mandatory)
 - o Research Ethics module (mandatory)

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research. Consultations too place on academic freedom and international partnerships and international

Section 3: Addressing research misconduct

3A. Statement on processes that the organisation has in place for dealing with allegations of misconduct

Please provide:

a brief summary of relevant organisation policies/ processes (e.g. research misconduct procedure, whistle-blowing policy, bullying/harassment policy; appointment of a third party to act as confidential liaison for persons wishing to raise concerns) and brief information on the periodic review of research misconduct processes (e.g. date of last review; any major changes during the period under review; date when processes will next be reviewed).

information on how the organisation creates and embeds a research environment in which all staff, researchers and students feel comfortable to report instances of misconduct (e.g. code of practice for research, whistle-blowing, research misconduct procedure, informal liaison process, website signposting for reporting systems, training, mentoring, reflection and evaluation of policies, practices and procedures).

anonymised key lessons learned from any investigations into allegations of misconduct which either identified opportunities for improvements in the organisation's investigation procedure and/or related policies / processes/culture or which showed that they were working well.

[Please insert response]

- The University requires that all research projects undertaken under it's auspices
 observe the University Code of Good Conduct in research and all of its associated
 policies and guidance as laid out here: https://www.london.ac.uk/research/research-governance
- The relevant institutional policies which support research integrity at the University of London can be found here: https://www.london.ac.uk/research/research-governance#policies-and-protocols-32231. They include:
 - Code of Good Practice in Research:
 - Allegations of Misconduct policy:
 - Policy and procedures for responding to allegations of misconduct in [being revised] (staff and fellows)

)	(students)

- Research Ethics
- o <u>Data Protection Policy</u>
- o Research Data Management Policy & Guidance
- o Open Access Policy [new]

The monitoring of UOL research-related policies is included within the terms of reference of each policy. Standard practice is to review every three years, unless there is a need to review earlier, for example when a funder announces revisions of existing terms and conditions or actual policies. 2022-23 has focused on the Open Access Policy and on ensuring Academic Freedom and Freedom of Speech, two key traits of research at the University of London, are clearly and unequivocally embedded within the narrative.

The Research Committee, which reviews and develops research policies and monitors activities against institutional strategic objectives.

Committee.

3. Any other research

can be difficult for staff and students to report instances of research misconduct. The -standing Whistleblowing Policy is designed to provide protections for those raising such concerns.

 Review of misconduct in research is focused on individual instances only and is misconduct report is submitted annually to AQAC annually.

3B. Information on investigations of research misconduct that have been undertaken

Please complete the table on the number of **formal investigations completed during the period under review** (including investigations which completed during this period but started in a previous academic year). Information from ongoing investigations should not be submitted.

An organisation's procedure may include an initial, preliminary, or screening stage to determine whether a formal investigation needs to be completed. These allegations should be included in the first column but only those that proceeded past this stage, to formal investigations, should be included in the second column.

	Number of allegations			
	Number of		Number	Number
Type of allegation	allegations	Number of	upheld in	upheld in
Type of allegation	reported to	formal	part after	full after
	the	investigations	formal	formal
	organisation		investigation	investigation
Fabrication				
Falsification	1	1		1
Plagiarism	1	1		1
Failure to meet				
legal, ethical and				
professional				
obligations				
Misrepresentation				
(eg data;				
involvement;				
interests;				
qualification;				
and/or				
publication				
history)				
Improper dealing				
with allegations of				
misconduct				